



Eidgenössische Technische Hochschule Zürich  
Swiss Federal Institute of Technology Zurich

---

# Quantifying Direct and Indirect Effects of Elevated CO<sub>2</sub> on Ecosystem Response

**Simone Fatichi**

Institute of Environmental Engineering, ETH Zürich, Switzerland  
[simone.fatichi@ifu.baug.ethz.ch](mailto:simone.fatichi@ifu.baug.ethz.ch)

---

26 September, 2017  
Garmisch-Partenkirchen, Germany

**T=RENO**  
TERRESTRIAL ENVIRONMENTAL OBSERVATORIES

# MOTIVATION

**CO<sub>2</sub> INCREASE IS EXPECTED TO HAVE IMPORTANT CONSEQUENCES!**

- HYDROLOGY
- ENERGY FLUXES
- CARBON UPTAKE and STORAGE
- NUTRIENT DYNAMICS

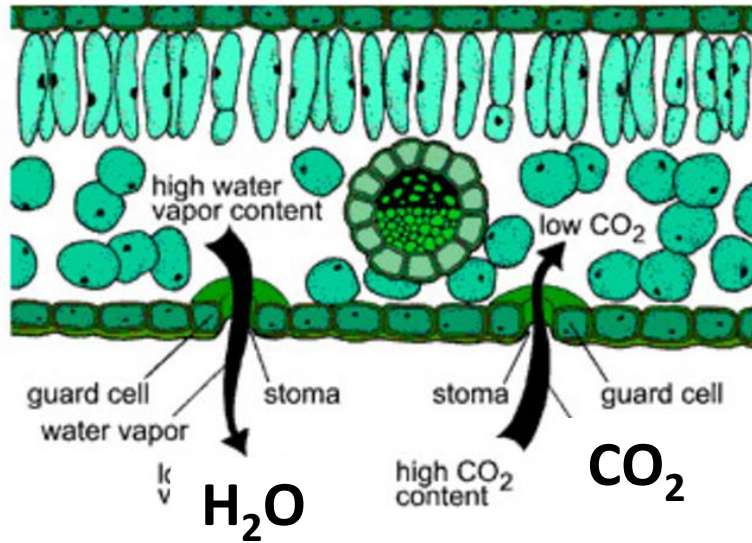
**Projected increase in continental runoff due to plant responses to increasing carbon dioxide**

*Betts et al. 2007 Nature*

**Effect of increasing CO<sub>2</sub> on the terrestrial carbon cycle**

*Schimel et al. 2015 PNAS*

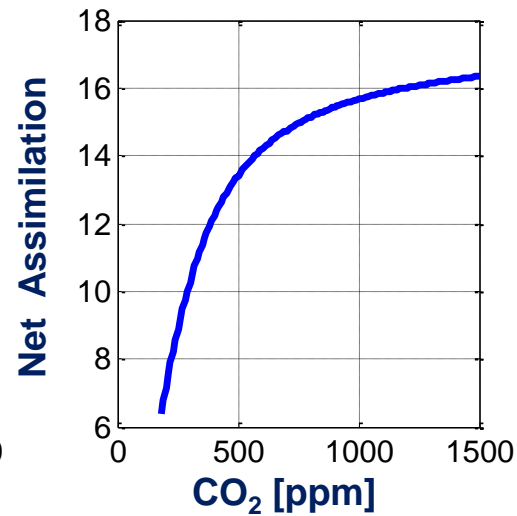
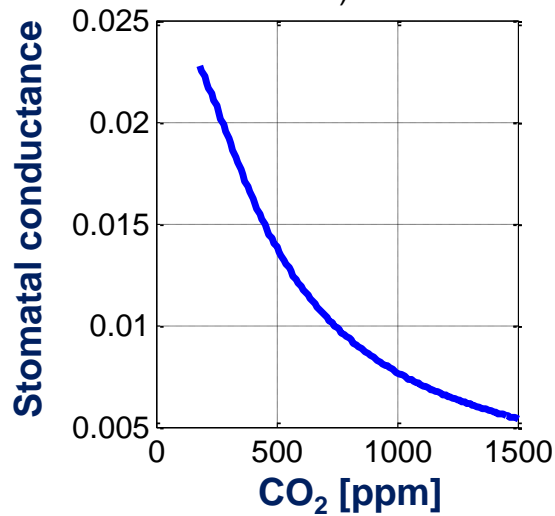
# MOTIVATION



## Water Use Efficiency

$$WUE = \frac{A_n}{T} = \frac{\text{Photosynthesis}}{\text{Transpiration}}$$

## Leaf Level Physiological Response



**C<sub>3</sub> plants**

## LITERATURE CONTEXT (Indirect effects)

Seasonal not annual rainfall determines grassland biomass response to carbon dioxide

Mark J. Hovenden<sup>1</sup>, Paul C. D. Newton<sup>2</sup> & Karen E. Wills<sup>1</sup>

Hovenden et al. 2014 *Nature*

C<sub>4</sub> grasses prosper as carbon dioxide eliminates desiccation in warmed semi-arid grassland

Morgan et al. 2011 *Nature*

Elevated [CO<sub>2</sub>] and forest vegetation: more a water issue than a carbon issue?

Holtum and Winter 2010  
*Funct. Plant Biol.*

## **RESEARCH QUESTIONS**

**Which is the proportion of direct and indirect effects of elevated CO<sub>2</sub>?**

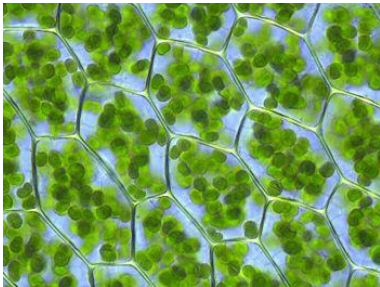
**Where should we expect the strongest response?**

# PARTITIONING EFFECTS OF CO<sub>2</sub> FERTILIZATION

- E1: DIRECT PHYSIOLOGICAL EFFECT ON PHOTOSYNTHESIS +
- E2: INDIRECT EFFECT THROUGH SOIL MOISTURE SAVINGS +
- E3: INDIRECT EFFECT THROUGH INCREASED LAI + -
- E4: INDIRECT EFFECT THROUGH INCREASED LAI AFFECTING SOIL MOISTURE -

$$\text{TOTAL CO}_2 \text{ EFFECT} = E1 + E2 + E3 + E4$$

E1



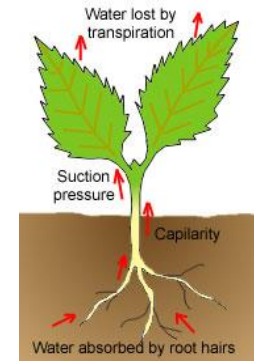
E2



E3



E4



# PARTITIONING EFFECTS OF CO<sub>2</sub> FERTILIZATION

## Numerical Simulations

0. CO<sub>2</sub> ambient, SM ambient and LAI ambient
1. CO<sub>2</sub> elevated, SM elevated and LAI elevated = E1+E2+E3+E4
2. CO<sub>2</sub> elevated, SM ambient and LAI ambient = E1
3. CO<sub>2</sub> elevated and LAI ambient = E1+E2
4. CO<sub>2</sub> elevated, SM ambient and LAI elevated = E1+E3
5. CO<sub>2</sub> elevated, SM elevated and LAI ambient = E1+E2+E4

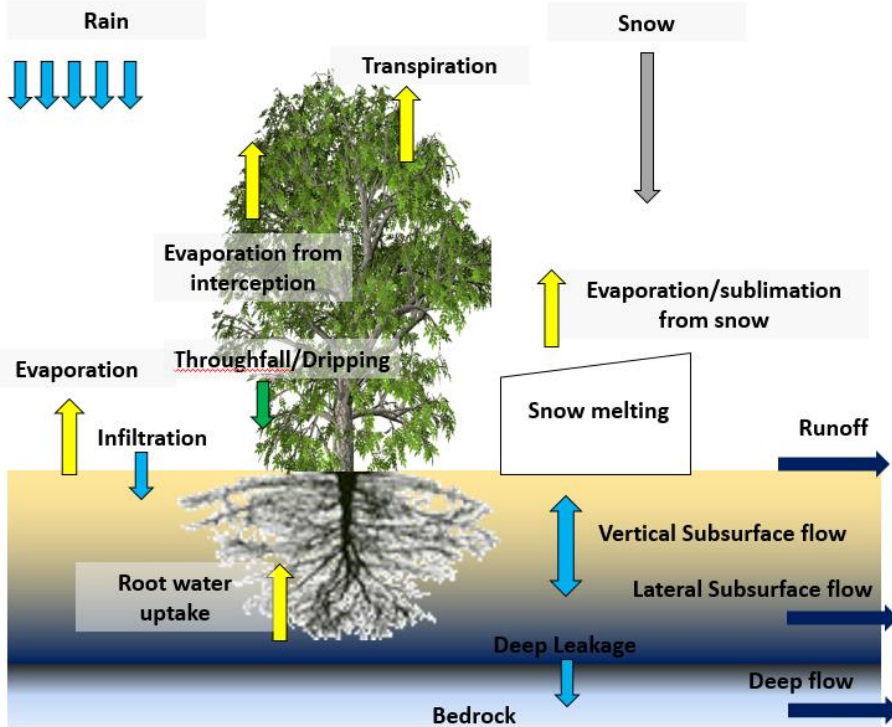
Ambient: 375 ppm  
Elevated : 550 ppm

Simulations (2 to 5) carried out imposing a given LAI or soil moisture (SM)



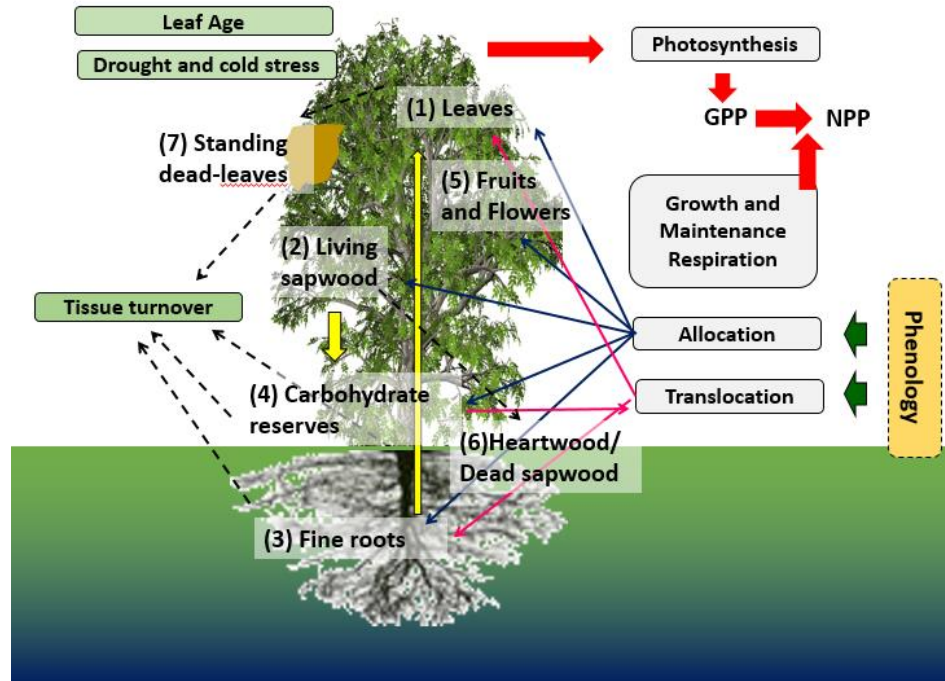
# METHOD: MECHANISTIC ECOHYDROLOGICAL MODEL

## Hydrological Part



## Tethys-Chloris (T&C)

## Vegetation Part



Fatichi et al., 2012a,b, *J. Advances in Modeling Earth Systems*

Fatichi and Leuzinger 2013, *Agr. For. Met.*

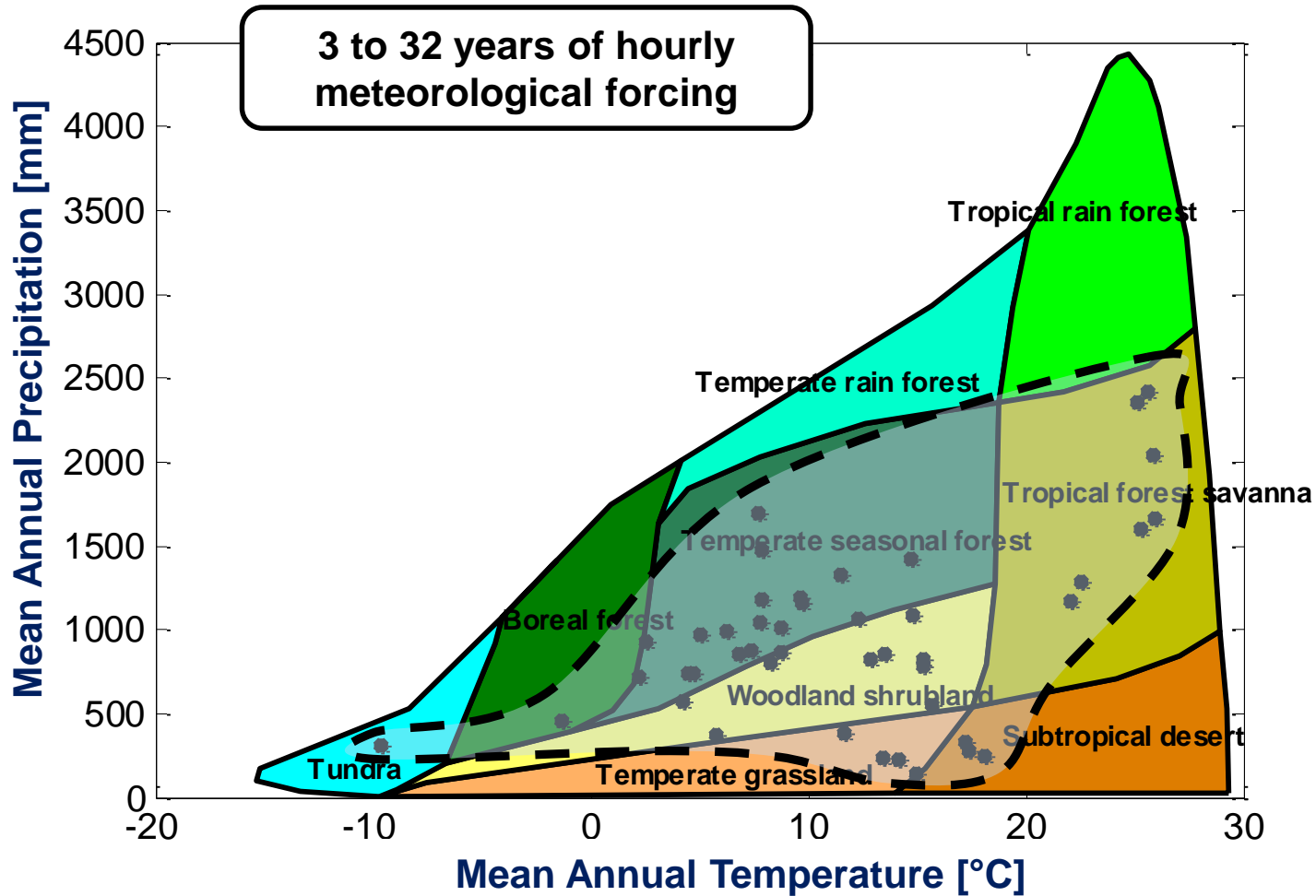
Fatichi et al., 2014, *WRR*; Fatichi and Ivanov 2014, *WRR*; Fatichi et al 2016, *PNAS*

Pappas et al. 2016 *NP*; Paschalis et al. 2015 *JGR*  
Fatichi and Pappas, 2017, *GRL*

**NO NUTRIENT LIMITATIONS!**



# 44 CASE STUDIES COVERING DIFFERENT CLIMATES (Flux towers and manipulation experiments)



# FACE CASE STUDIES

**SWISS CANOPY CRANE (CH)**  
Mixed Deciduous Forest



**TasFACE (AUS)**  
C3/C4 Grassland



**DUKE FACE (USA)**  
Loblolly Pine and Mixed Deciduous



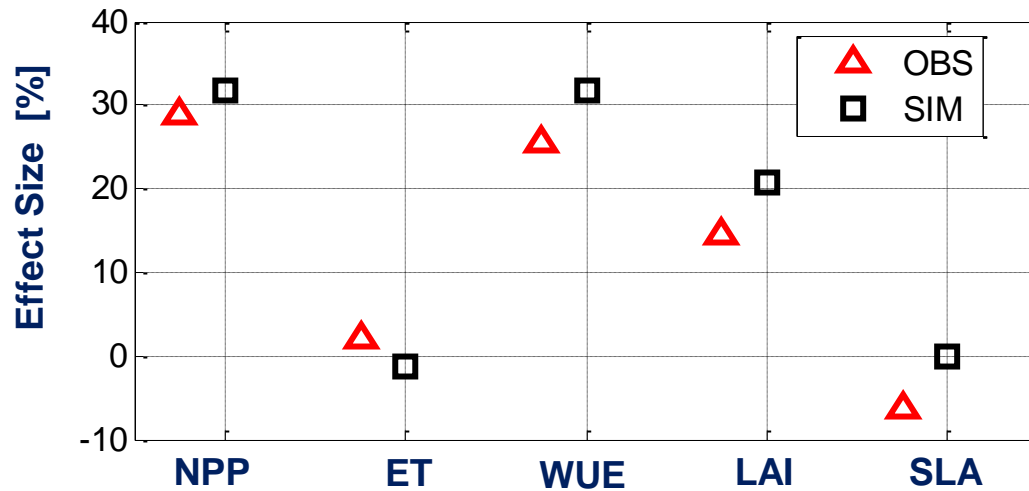
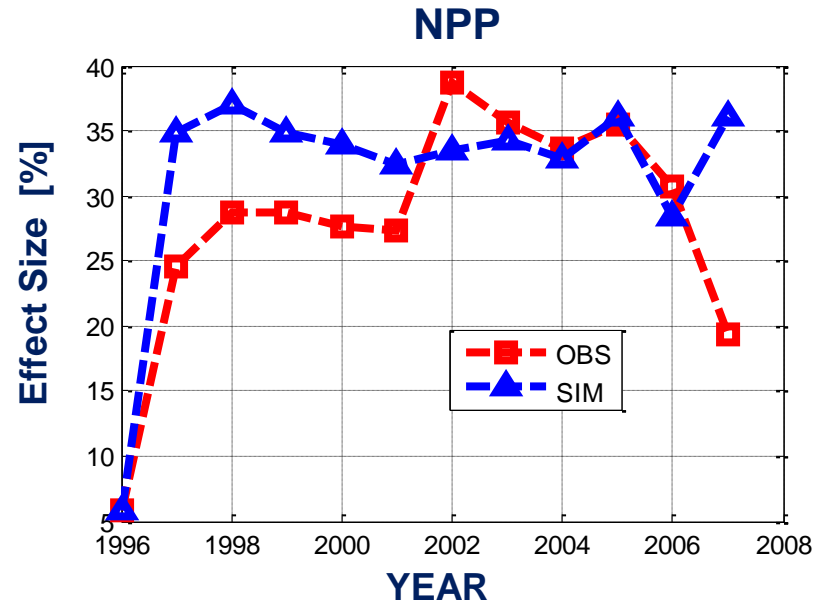
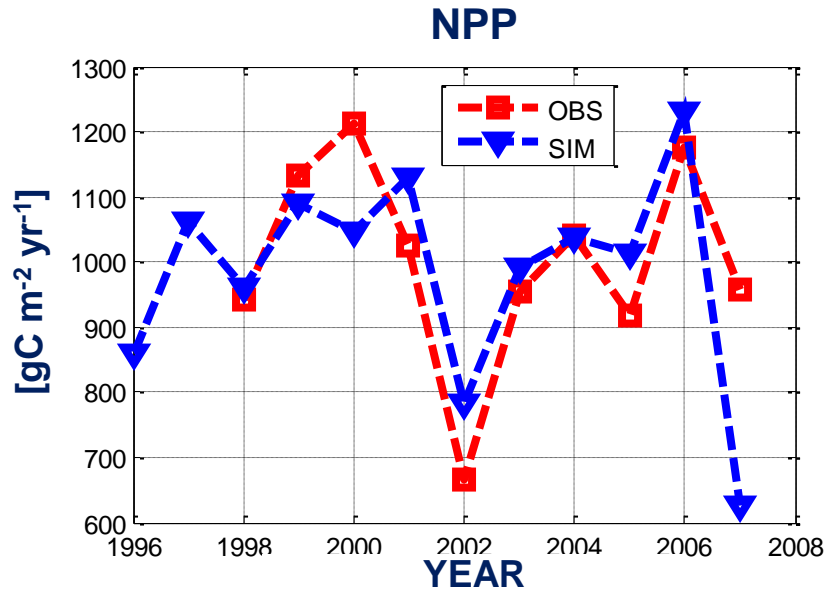
**SERC (USA)**  
Florida Scrub Oak



**ORNL FACE (USA)**  
Sweetgum plantation



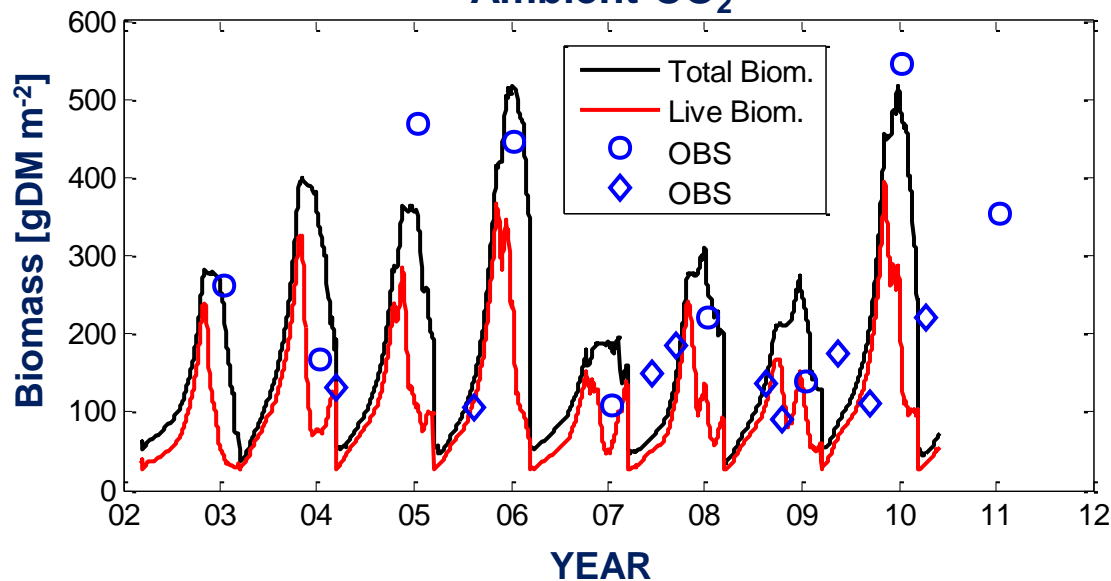
# DUKE FACE



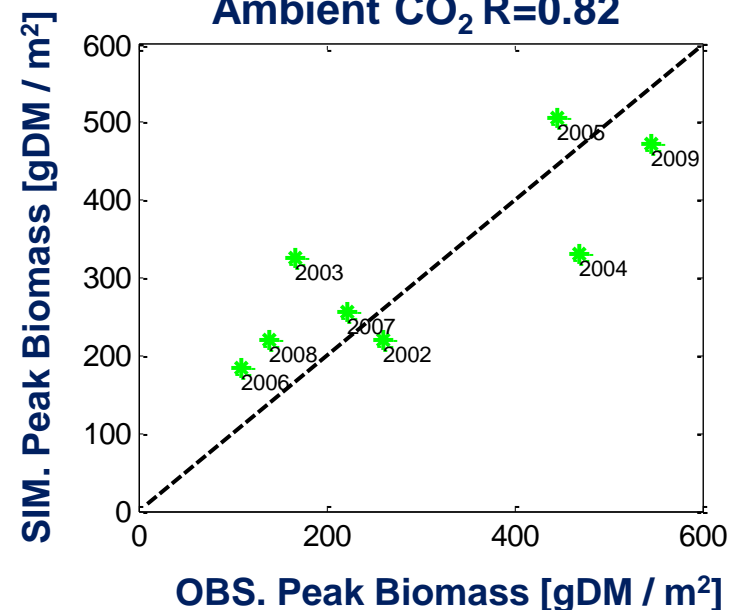
Very consistent predictions across a range of metrics

# TasFACE

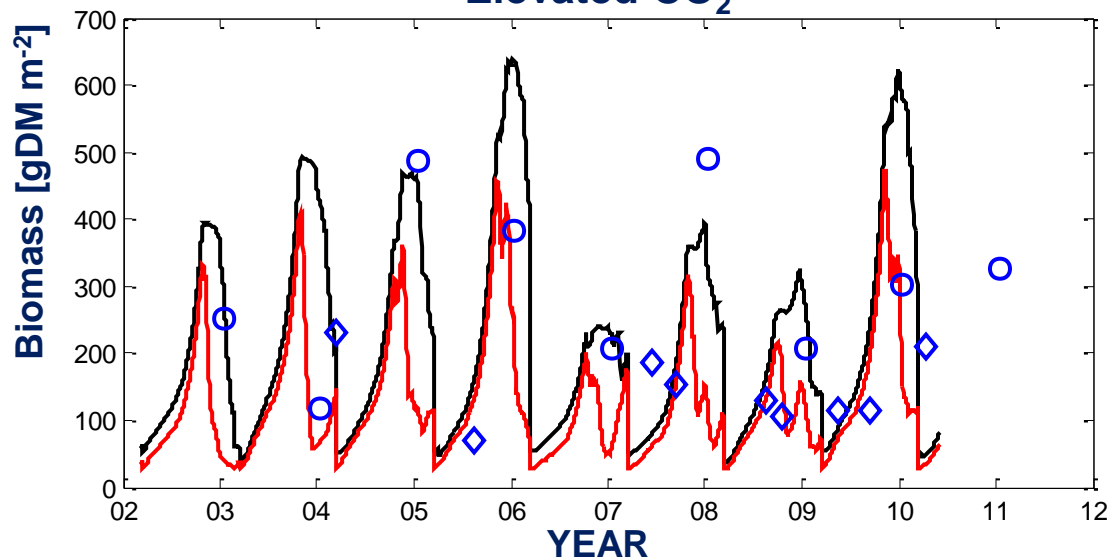
## Ambient CO<sub>2</sub>



## Ambient CO<sub>2</sub> R=0.82



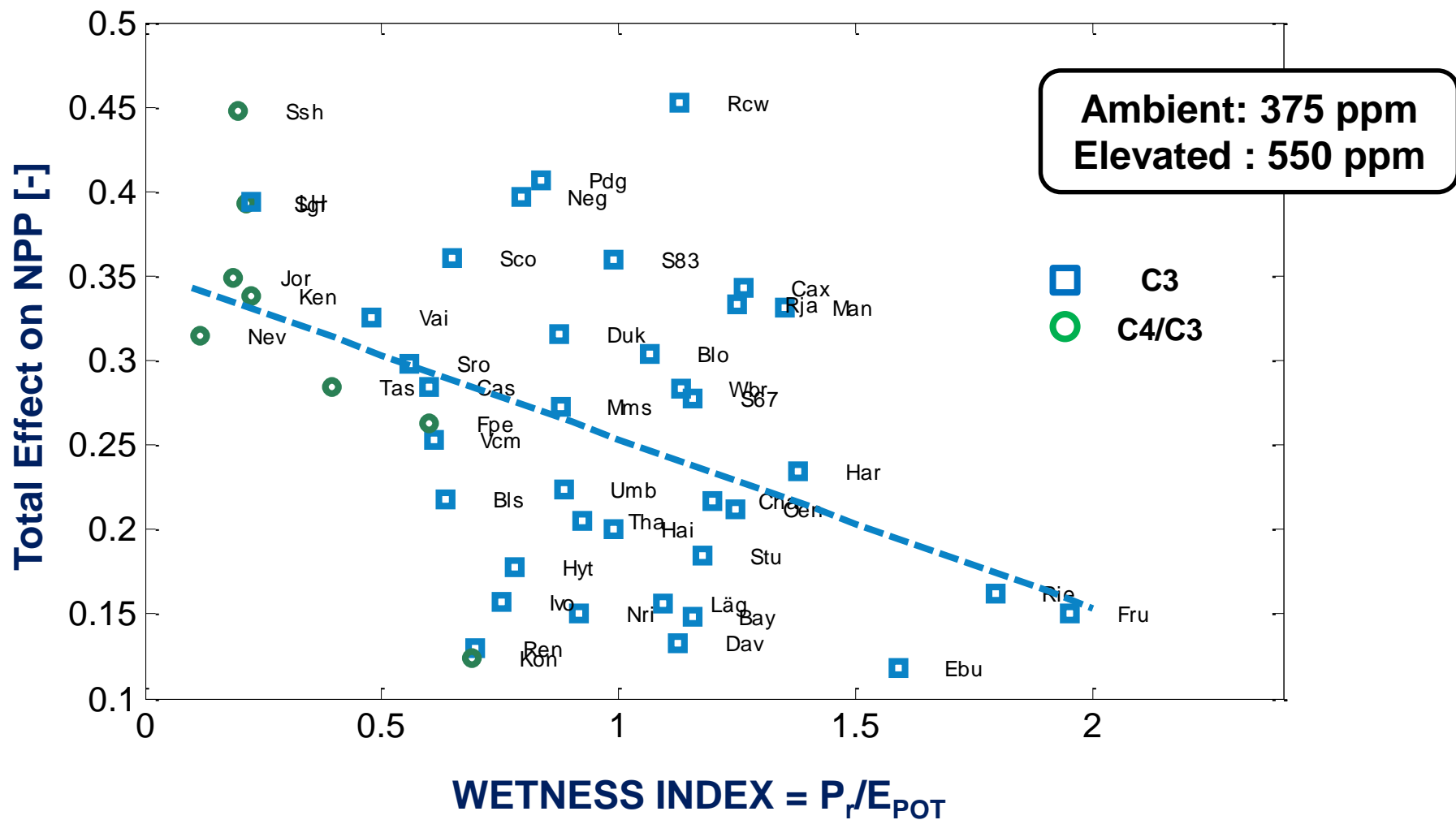
## Elevated CO<sub>2</sub>



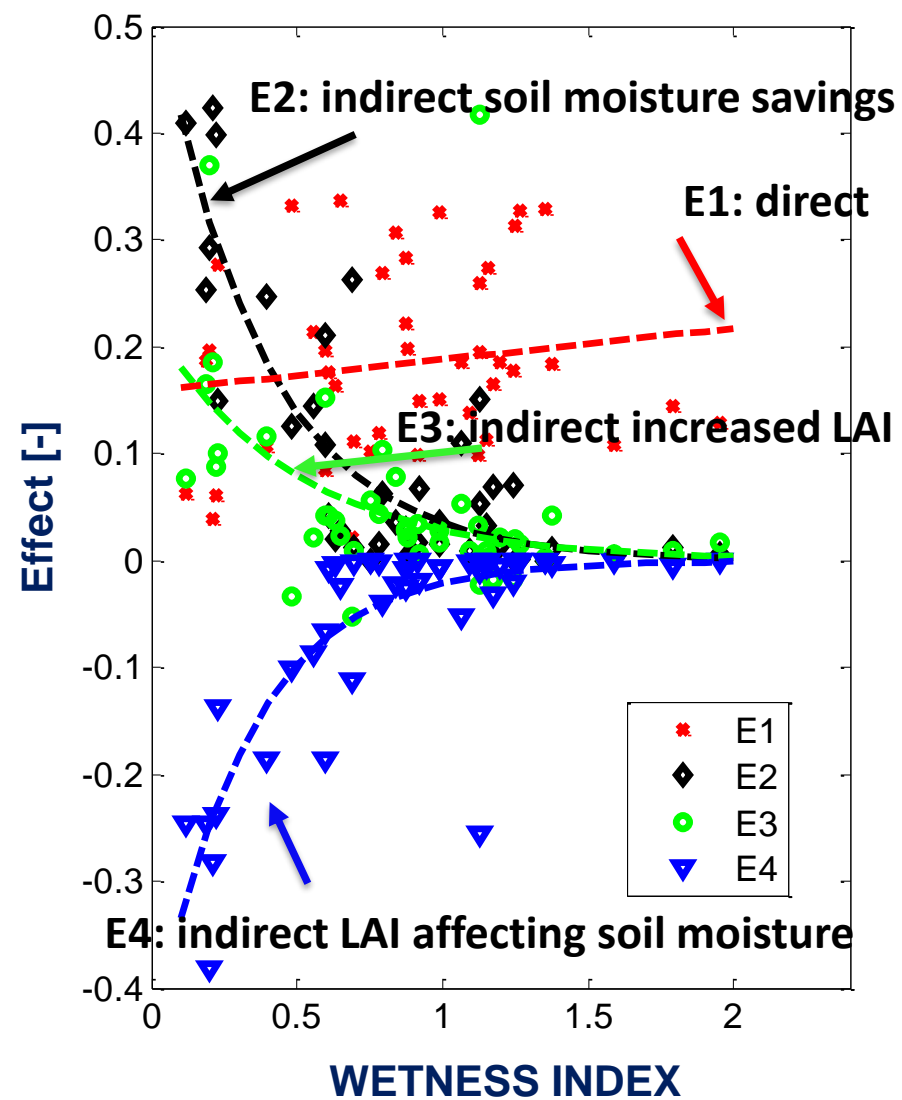
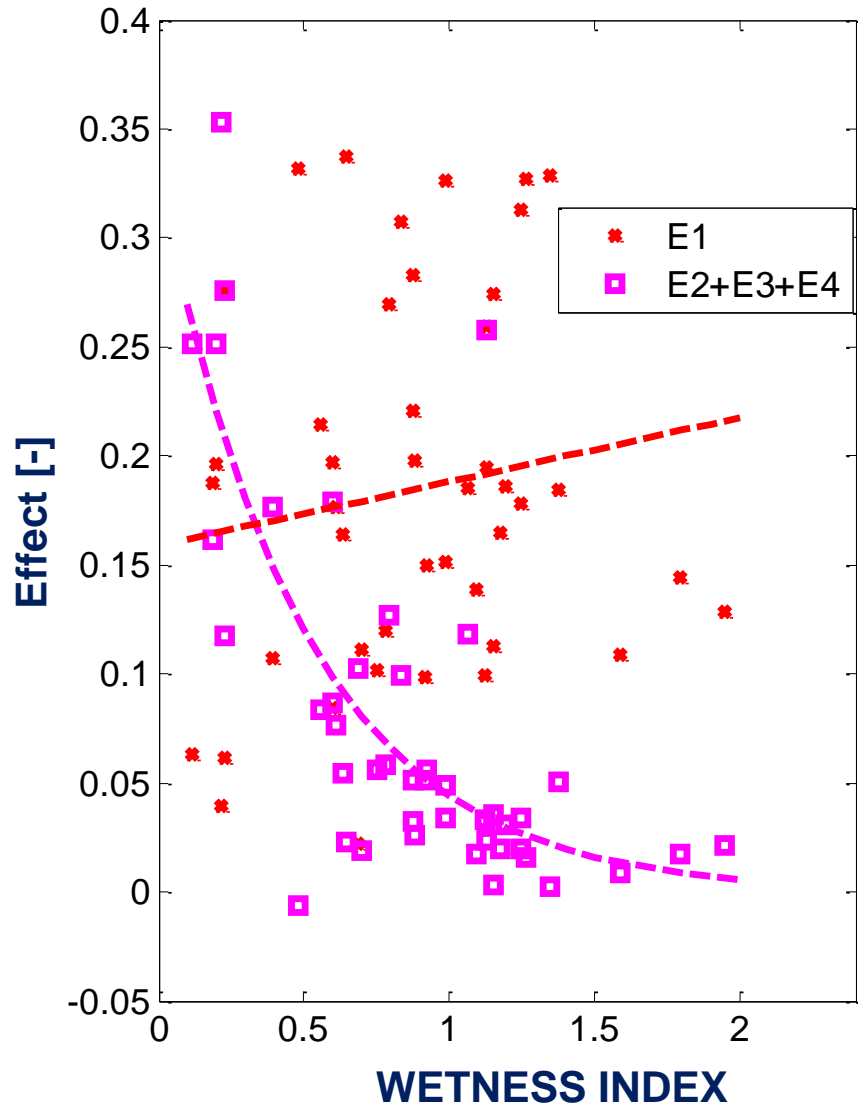
**Total CO<sub>2</sub> Effect Average  
across years  
SIM. 23%  
OBS. 18%**

**Data from: Hovenden et al., 2014 *Nature***

# NPP – Total Effect

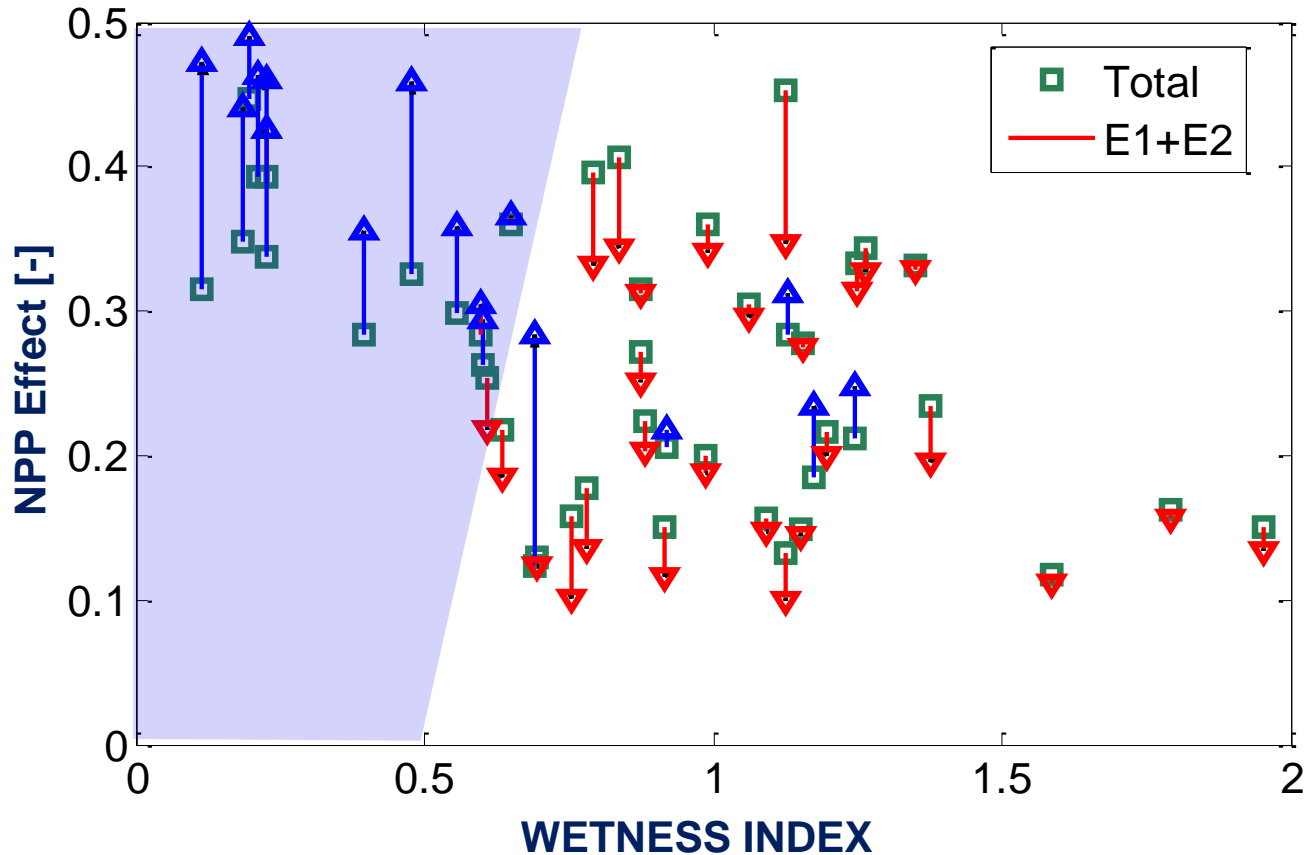


# NPP: PARTITIONING EFFECTS



# NPP: PARTITIONING EFFECTS

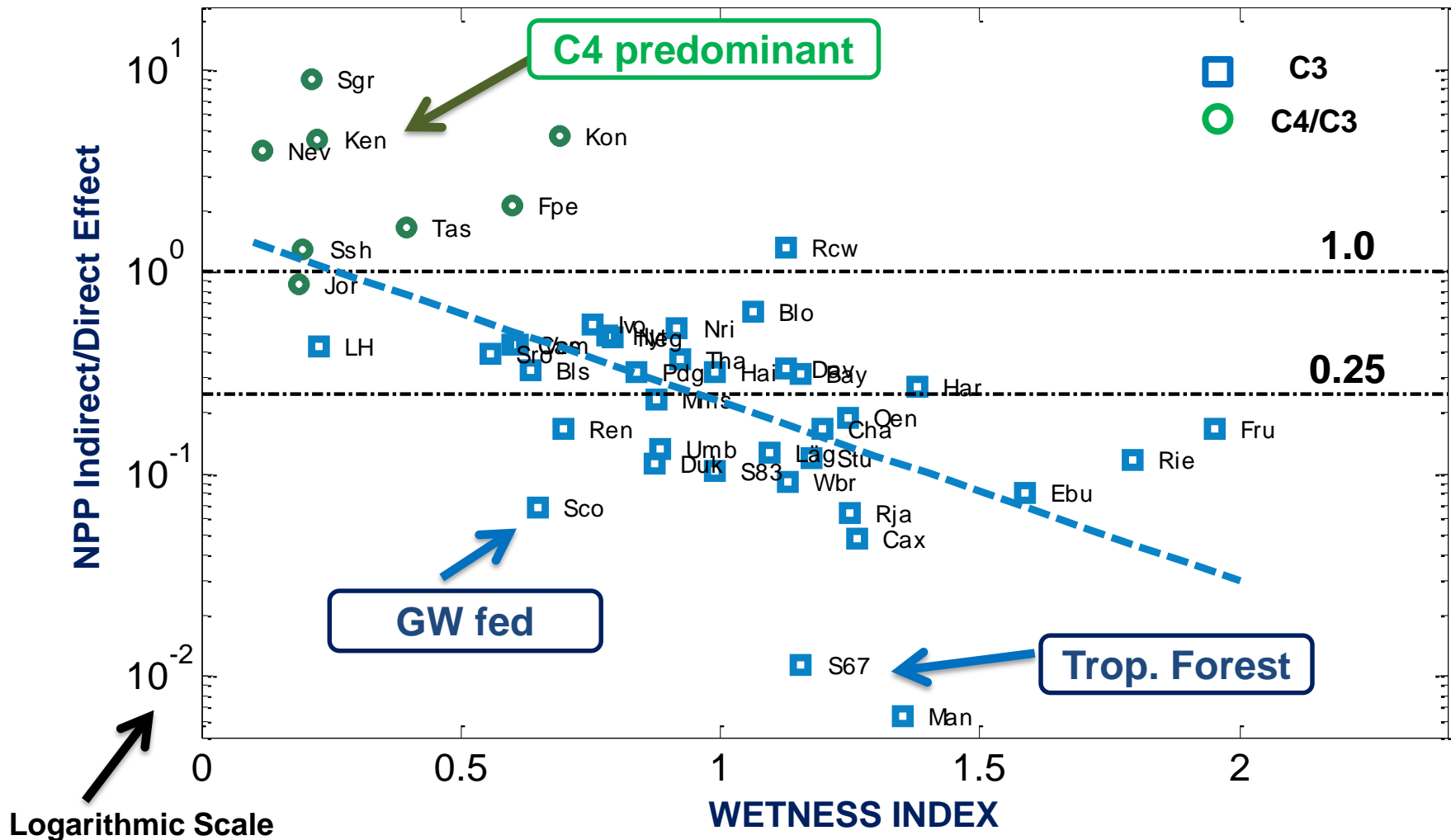
Removing the changes in LAI has contrasting effects



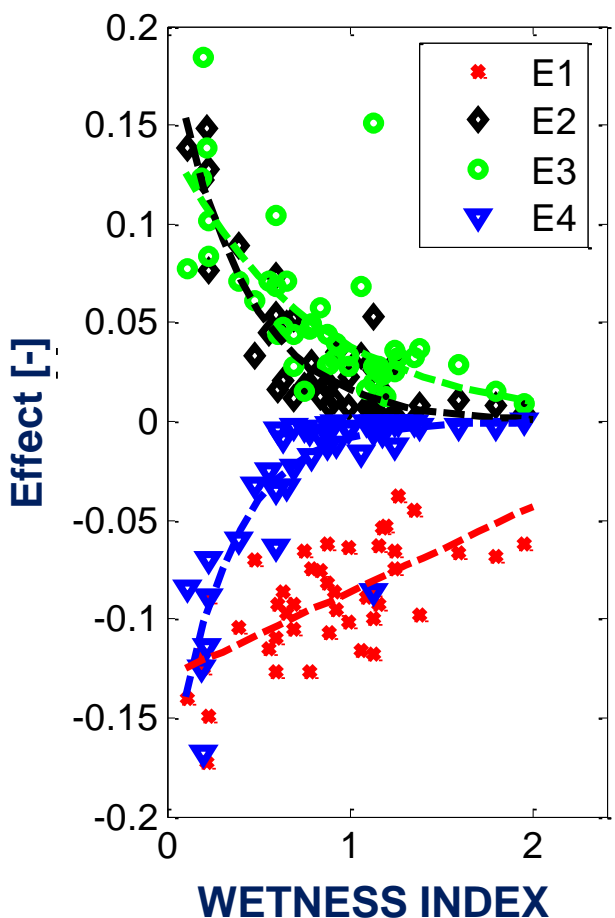
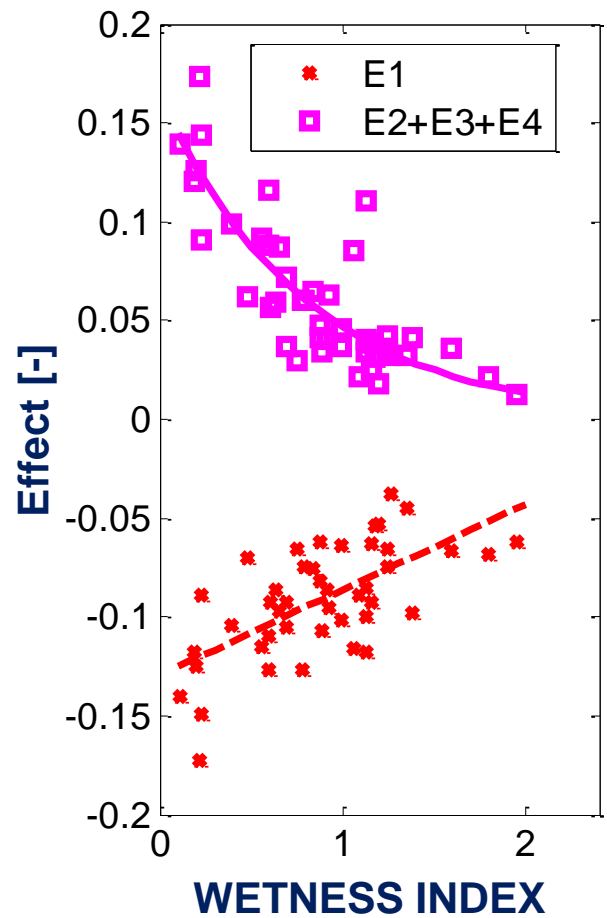
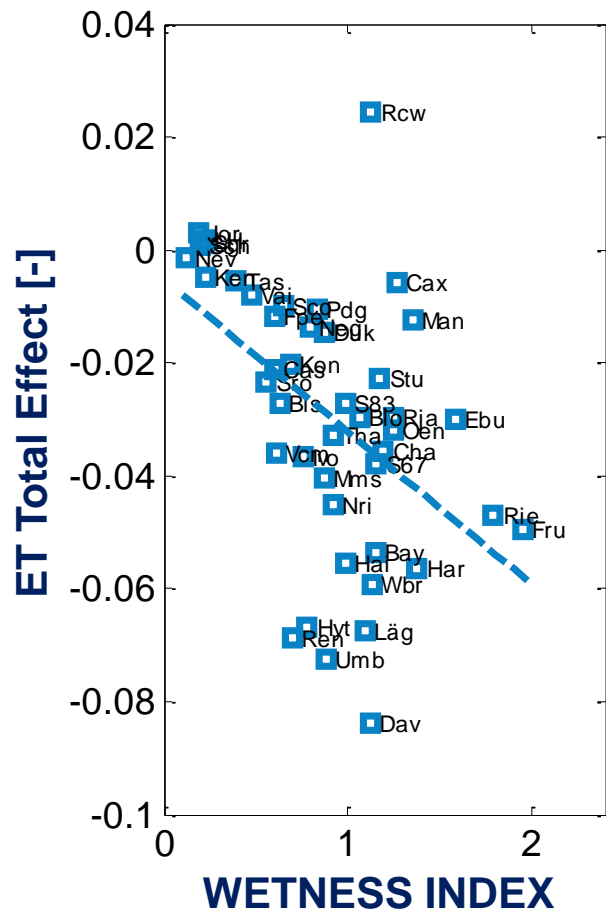
Total vs. Direct + SM Indirect



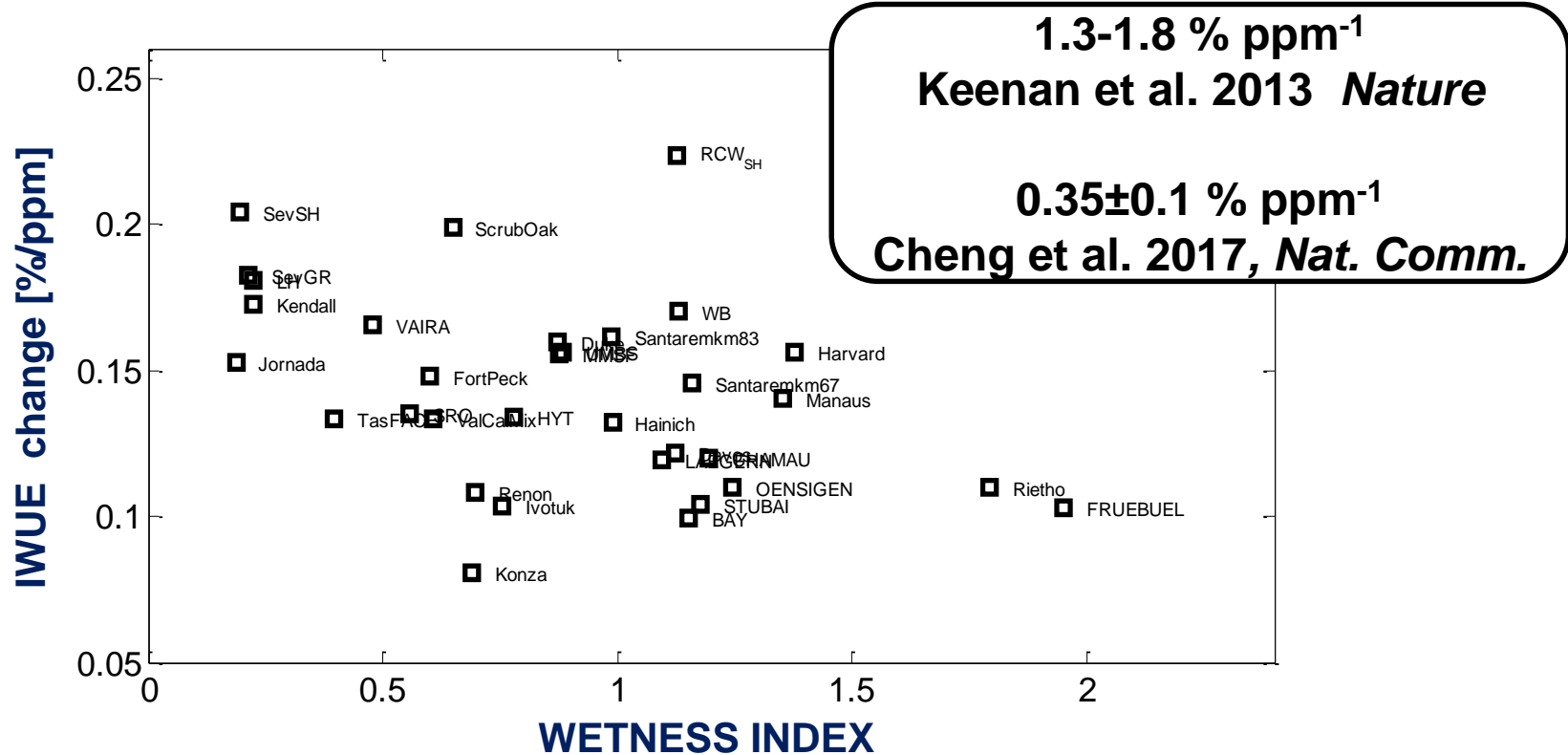
# NPP – Direct vs Indirect



# EVAPOTRANSPIRATION: PARTITIONING EFFECTS



# Inherent Water Use Efficiency (Ecosystem scale)

$$IWUE = GPP * VPD / ET$$


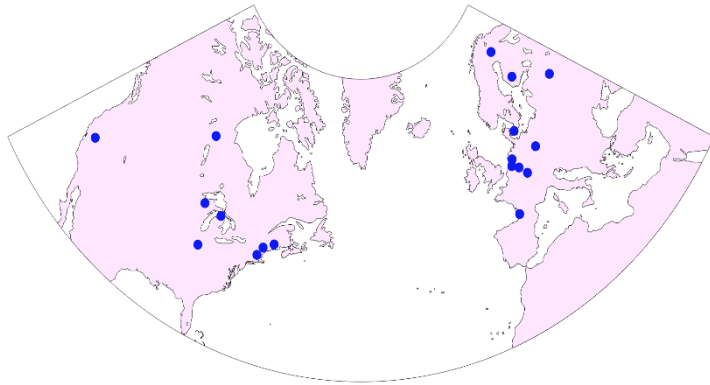
## **RESEARCH QUESTIONS**

**Can plant-trait plasticity explain the “unexpected” increase in WUE?**

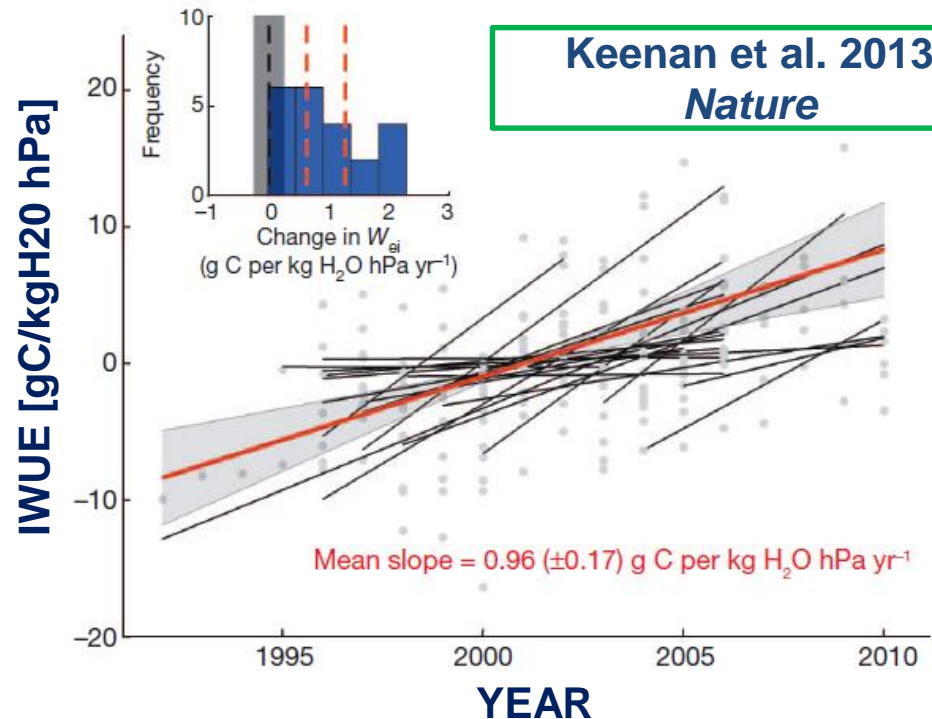
# INHERENT WATER USE EFFICIENCY

$$IWUE = \frac{GPP}{ET} VPD \quad \rightarrow \quad \text{if } \frac{C_i}{C_a} \text{ is roughly constant} \quad \rightarrow \quad IWUE \propto C_a$$

Flux-tower observations from 21 forested ecosystem in northern hemisphere ( $\approx 1995-2010$ )



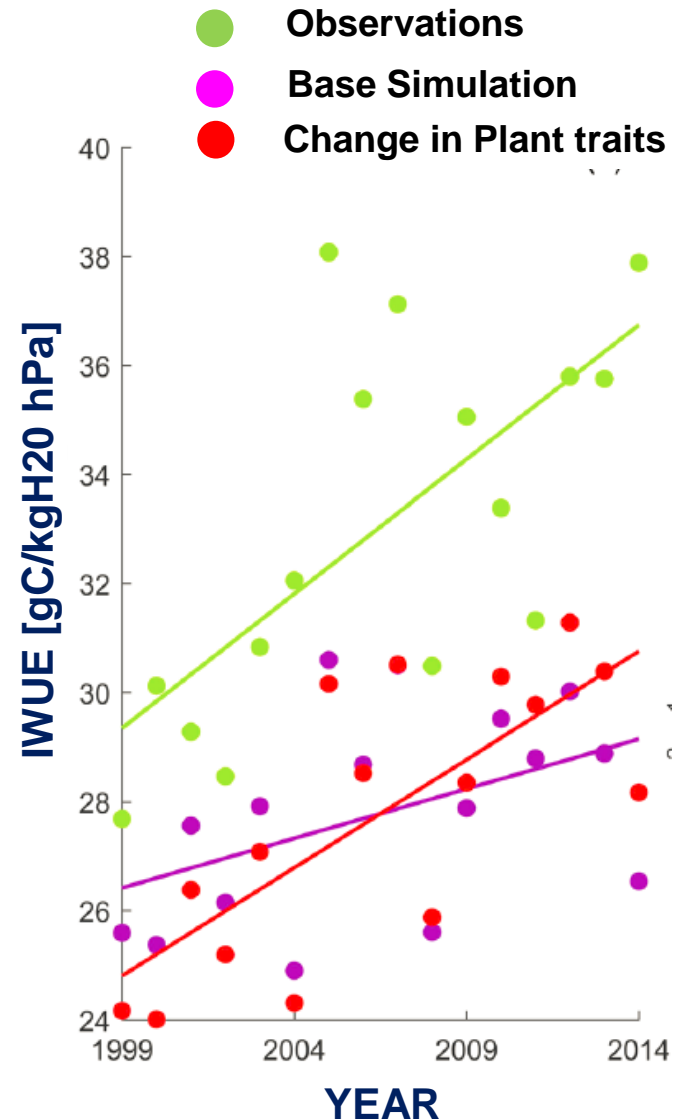
Expectation of 0.5%/yr increase in IWUE



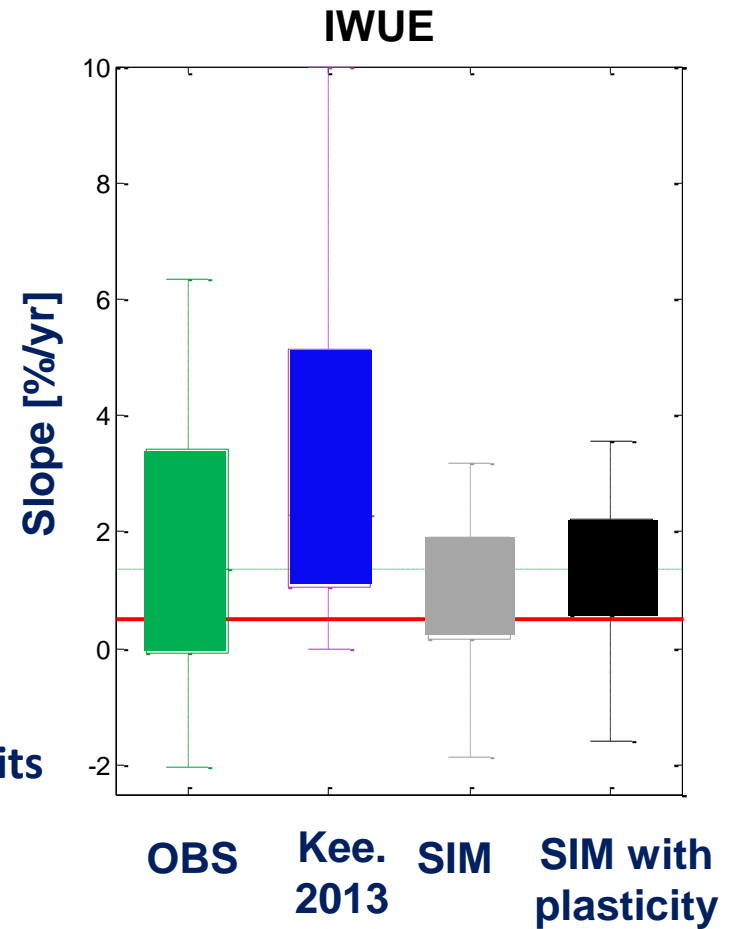
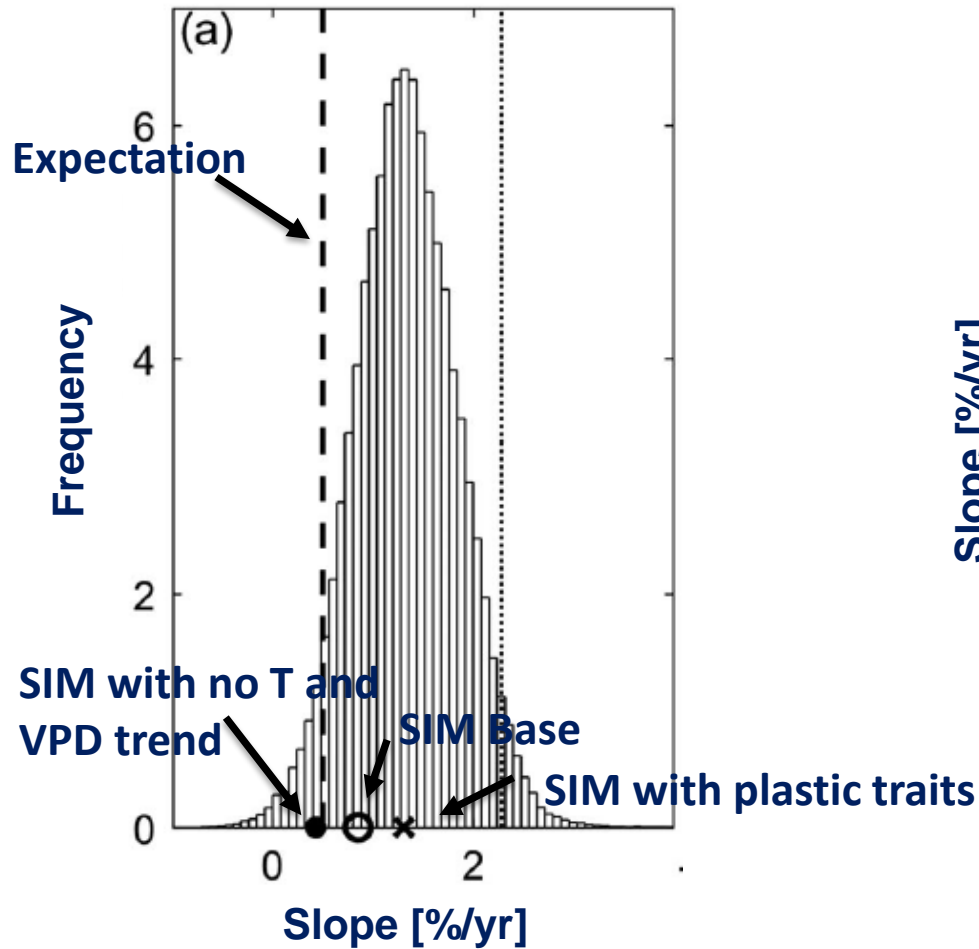
Increase in IWUE of 2.3%/yr vs. Model ensemble simulates 0.04 %/yr

# DESIGN OF THE EXPERIMENT

- Re-computing IWUE with longer time series and a proper estimate of uncertainty.
- Simulations with “static” parameters.
- Simulations with trends [ $1\% \text{ yr}^{-1}$ ] in a number of selected plant traits (model parameters).



# CHANGES IN IWUE



**Median IWUE change (1.3%/yr). It is 2.6 times above expectations**



# CONCLUSIONS (1)

- Mechanistic models do provide insights on ecosystem response to elevated CO<sub>2</sub>.
- Differences between CO<sub>2</sub> scenarios (375 vs 550 ppm) in terms of water fluxes are typically < 8%, while they are in the order of 20-40% for NPP.
- Indirect effects can be comparable or larger than direct effects.
- Additional analyses are required to better understand the effect of sink limitations (nutrients, turgor, temperature) on plant growth.
- Regardless, ecosystems experiencing water stress are expected to be the most responsive in terms of carbon fluxes.

Ahlström et al. 2015 *Science*

e.g.,

Impact of CO<sub>2</sub> fertilization on n  
warm, arid environments

**The dominant role of semi-arid  
ecosystems in the trend and  
variability of the land CO<sub>2</sub> sink**

## CONCLUSIONS (2)

- We computed a median increase in IWUE of 1.3%/yr across 20 north hemisphere forests in the last two decades.
- T&C predicts an increase of 0.9%/yr in IWUE larger than expected but less than observed.
- Plasticity in ecosystem traits can potentially explain the “unexpectedly” large IWUE increase.
- A new challenge for the parametrization of ecosystem models, where key physiological parameters may not be necessary temporally constant, is suggested.



**Thanks for your  
attention !**

## **Partitioning direct and indirect effects reveals the response of water-limited ecosystems to elevated CO<sub>2</sub>**

Simone Fatichi<sup>a,1</sup>, Sebastian Leuzinger<sup>b</sup>, Athanasios Paschalis<sup>c,d</sup>, J. Adam Langley<sup>e</sup>, Alicia Donnellan Barraclough<sup>b</sup>, and Mark J. Hovenden<sup>f</sup>

**Fatichi et al. 2016 *PNAS***

## **Linking plant functional trait plasticity and the large increase in forest water use efficiency**

**Mastrotheodoros et al. 2017 *JGR***

Theodoros Mastrotheodoros<sup>1</sup> , Christoforos Pappas<sup>2</sup> , Peter Molnar<sup>1</sup> , Paolo Burlando<sup>1</sup>, Trevor F. Keenan<sup>3</sup> , Pierre Gentine<sup>4</sup> , Christopher M. Gough<sup>5</sup>, and Simone Fatichi<sup>1</sup> 



# MOTIVATION

PROJECTIONS OF FUTURE TERRESTRIAL CARBON AND WATER CYCLE RELY ON NUMERICAL TOOLS

DGVMs

Terrestrial Biosphere Models  
Terrestrial Ecosystem Models  
Ecohydrological Models

RESULTS FROM MANY MANIPULATION EXPERIMENTS ARE AVAILABLE

Warming



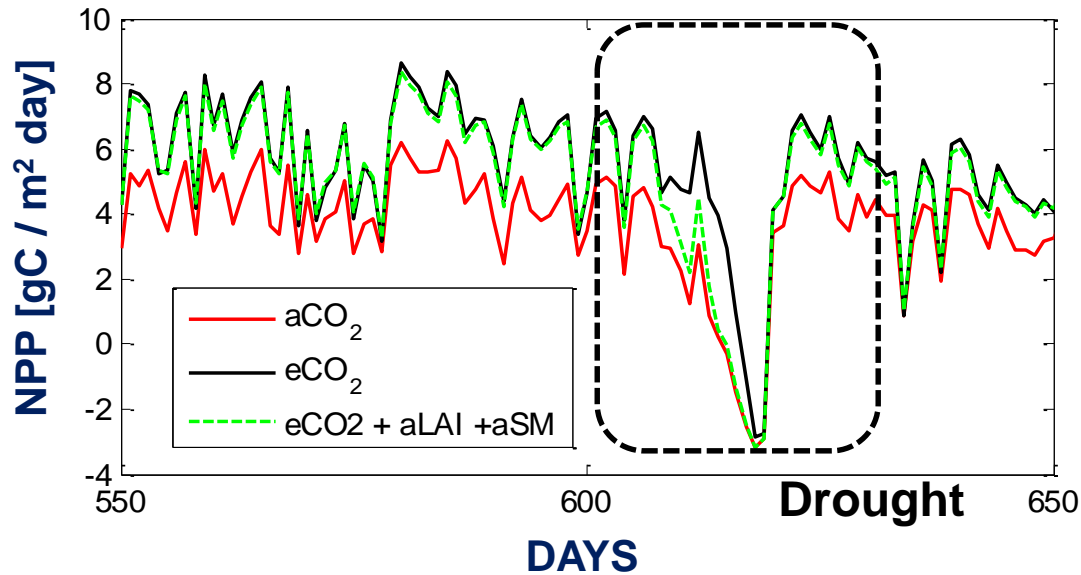
Rainfall Exclusion



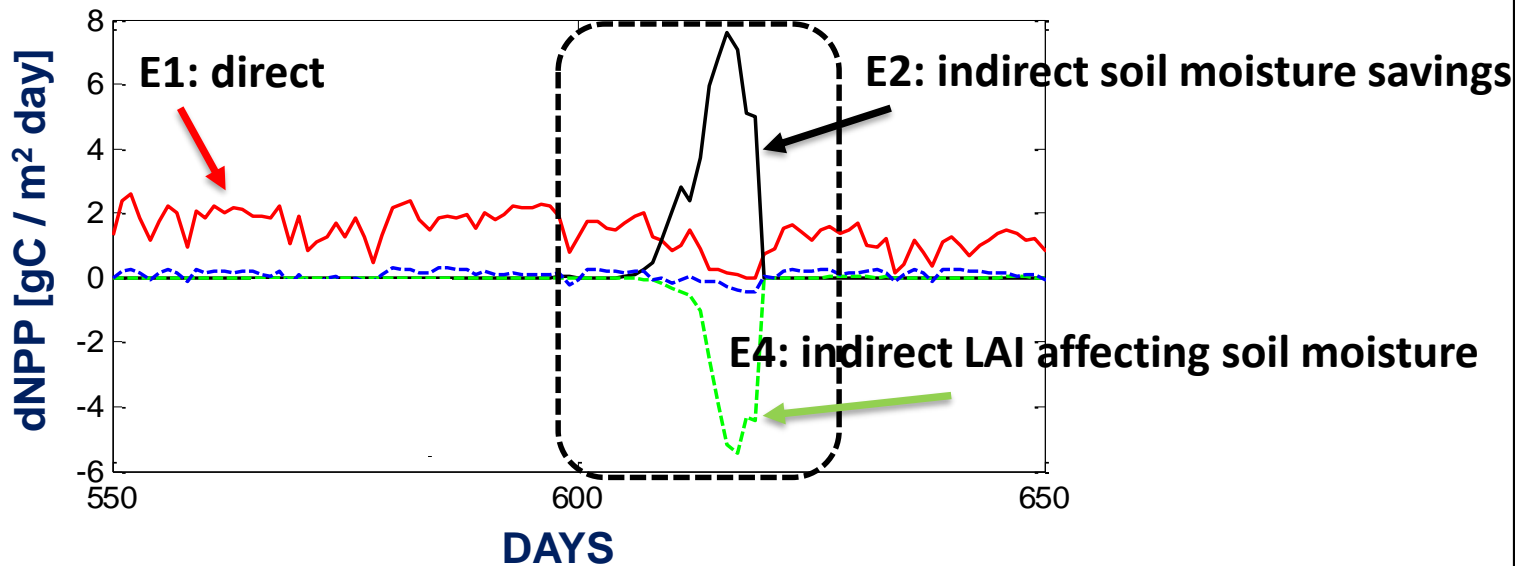
Girdling



# PARTITIONING EFFECTS OF CO<sub>2</sub> FERTILIZATION

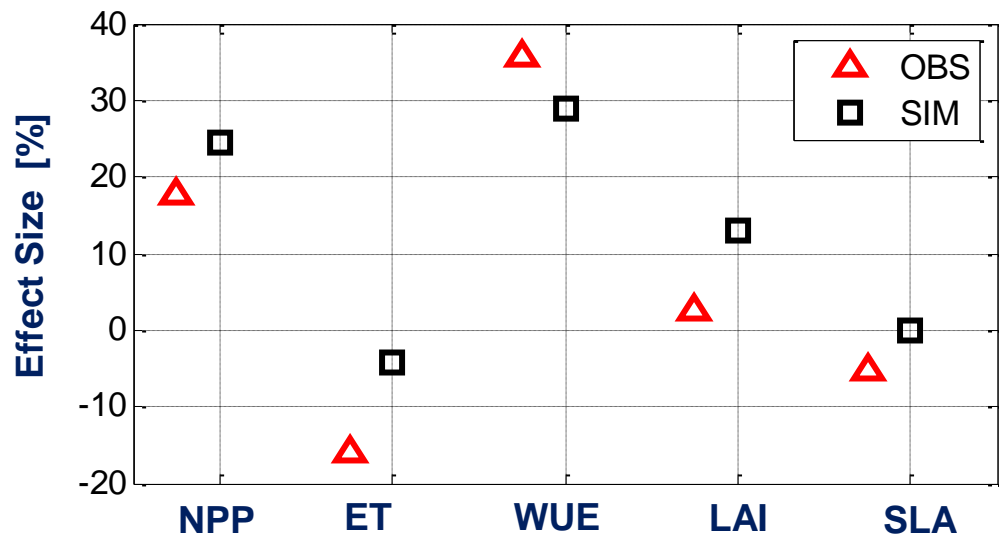
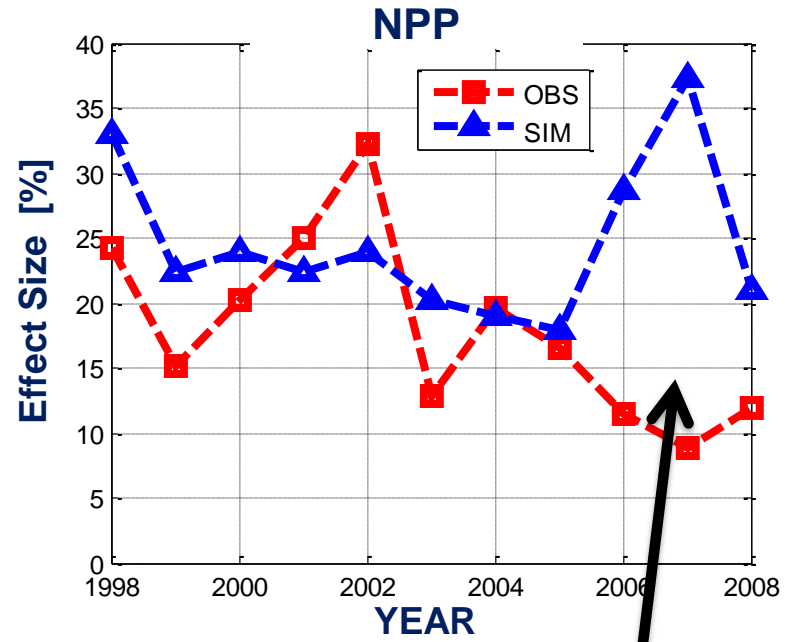
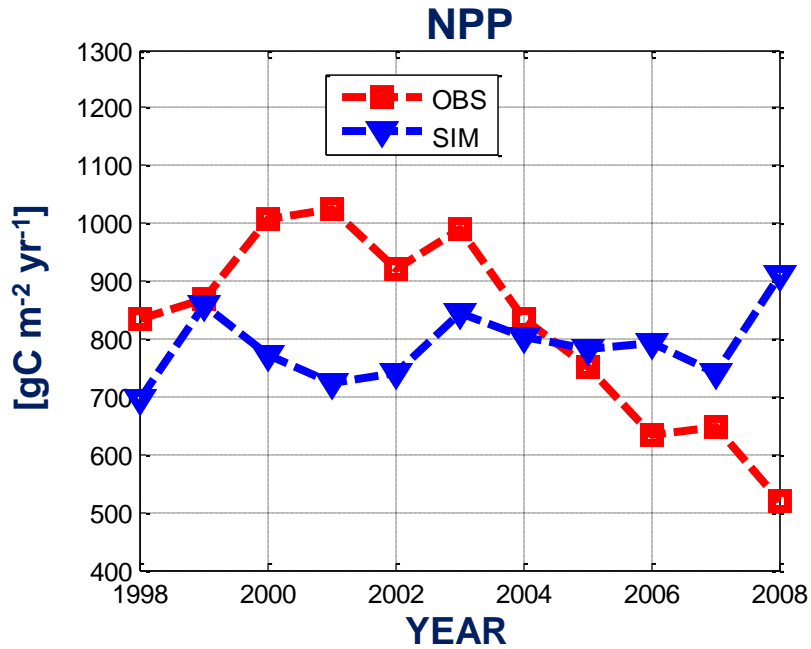


DUKE FACE (USA)





# ORNL FACE

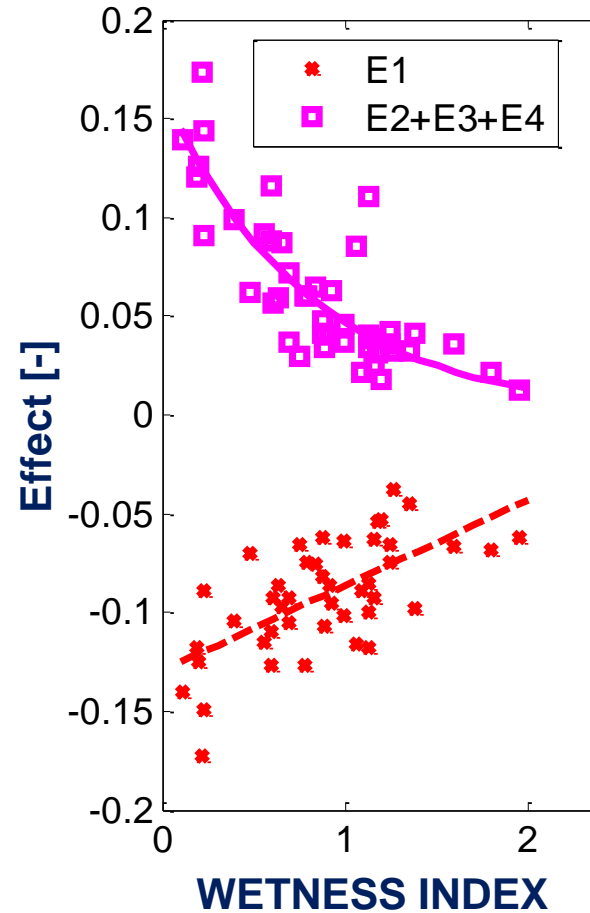
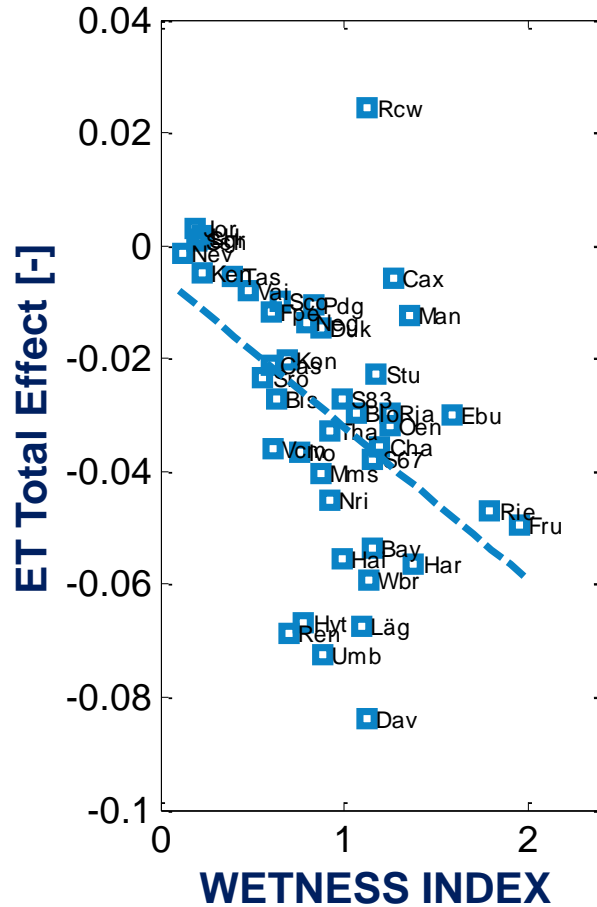


**Nutrient Limitations**

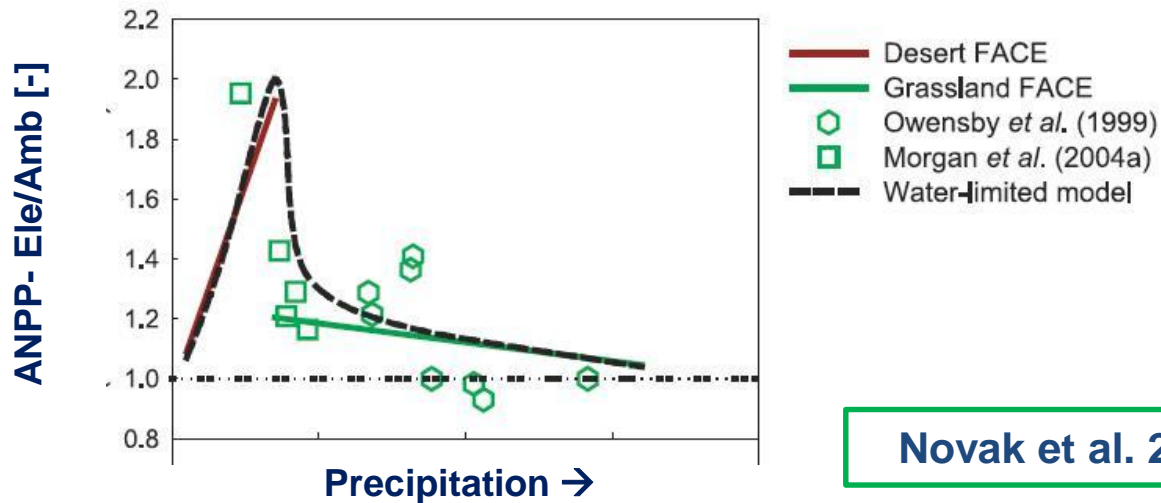
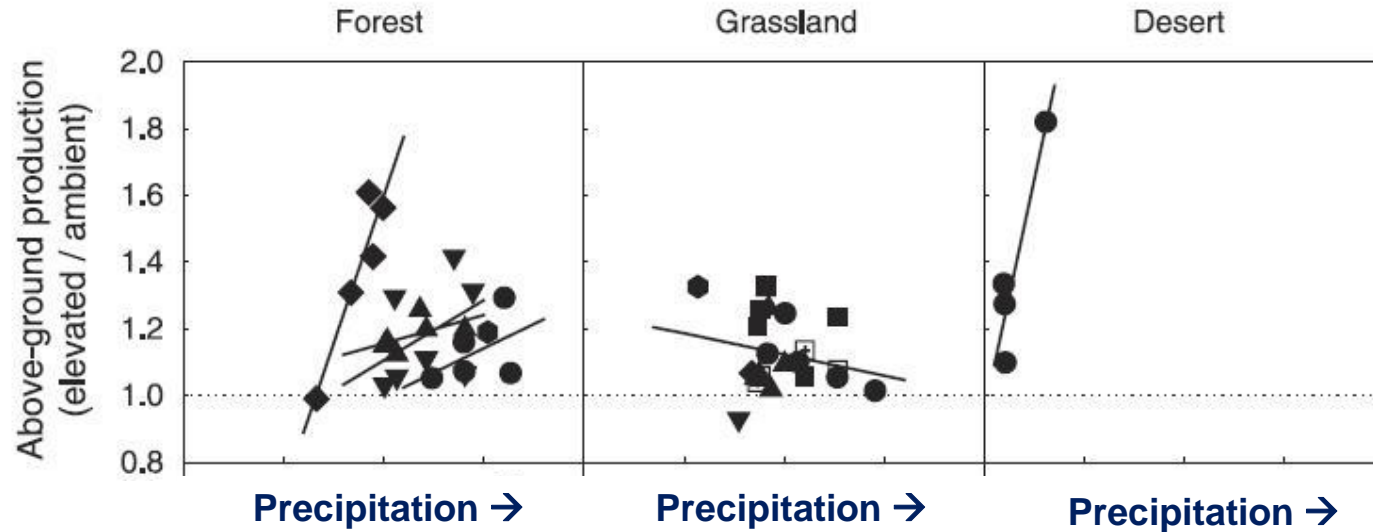
Data from: De Kauwe et al., 2013 GCB; Zaehle et al 2014 NP



# EVAPOTRANSPIRATION: PARTITIONING EFFECTS



# LITERATURE CONTEXT



Novak et al. 2004 *New Phyt.*

# NPP – Direct effect only

